Implication of microplastics on soil faunal communities – identifying gaps of knowledge
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#### 8 Abstract

9 There is mounting evidence that plastic and microplastic contamination of soils can affect physico-chemical processes and soil fauna, as has been excellently summarised in many 10 11 recently published meta-analyses and systematic reviews elsewhere. It has become clear that 12 impacts are highly context dependent on e.g., polymer type, shape, dose and the soil itself. 13 Most published studies are based on experimental approaches using (semi-)controlled 14 laboratory conditions. They typically focus on one or several representative animal species 15 and their behaviour and/or physiological response – for example earthworms, but rarely on 16 whole communities of animals. Nevertheless, soil animals are rarely found in isolation and form part of intricate foodwebs. Soil faunal biodiversity is complex, and species diversity and 17 18 interactions within the soil are very challenging to unravel, which may explain why there is 19 still a dearth of information on this. Research needs to focus on soil animals from a holistic viewpoint, moving away from studies on animals in isolation and consider different trophic 20 21 levels including their interactions. Furthermore, as evidence obtained from laboratory studies 22 is complemented by relatively few studies done in field conditions, more research is needed 23 to fully understand the mechanisms by which plastic pollution affects soil animals under 24 realistic field conditions. However, field-based studies are typically more challenging

logistically, requiring relatively large research teams, ideally of an interdisciplinary nature to maintain long-term field experiments. Lastly, with more alternative, (bio)degradable and/or compostable plastics being developed and used, their effects on soil animals will need to be further researched.

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#### 30 Introduction

31 Research on how plastic (especially microplastic) pollution affects soil ecosystems has 32 increased greatly over the last decade, with many comprehensive systematic reviews and 33 meta-analyses recently published on the topic (e.g. [1-9]). Recently a comprehensive 34 database has been compiled, amalgamating data research on the toxicity of microplastics in a wide range of habitats [10]. It is widely accepted that biologically healthy soils are crucial 35 36 for ecosystem functioning [11, 12] and the myriad of services they provide [13] (Figure 1). 37 With the increase in (micro)plastics pollution in soils, however, ecosystem services and associated faunal communities are considered to be under threat [14]. The aim of this paper 38 39 is not to systematically review the current state of knowledge, as that has recently been 40 extensively done elsewhere, but to identify specific gaps of understanding of how (micro)plastic pollution affects soil faunal communities, i.e. effects on higher levels of 41 42 biological organisation, to direct future research.

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## 44 Interactions between plastics and soil fauna at higher levels of organisation.

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It is thought that the majority of plastic pollution in soil ecosystems can be attributed to agriculture and poor waste management, but there are many other pathways for plastics to enter soil, as recently reviewed by [15], for example car tyre particles from road wear [16],

49 clothing fibres from washing [17] and application of wastewater or sludge to soils [18]. In 50 some cases, plastics are added onto soil deliberately; an obvious example being the application of plastic mulches in agriculture [19] to create favourable microclimates for crops 51 to grow [20], but with the potential of plastic fragments remaining in the soil [21-23], even 52 53 though there are plastics marketed as biodegradable or compostable e.g. made of 54 biodegradable polymer. However, with many types of plastics designed to last, and thus 55 degrade poorly under natural conditions in the soil [24], there is concern for the accumulation 56 of plastics in soils [25], including as microplastics [26], currently still defined as particles < 57 5mm or < 1mm in size [27]. Since raising concerns of microplastic contamination of soils a 58 decade ago by [28], there has been an increased effort to understand their impacts on 59 terrestrial ecosystems, with studies exploring effects on ecosystem functioning [29] and soil 60 biota [7]. When deposited on the soil, microplastics are translocated in the soil profile through 61 bioturbation by soil animals (e.g. earthworms), agricultural activities such as ploughing, but 62 also natural physical soil processes such as slaking [30] and precipitation [31], and certain soil 63 animals can contribute to the further fragmentation of plastic particles into microplastics [32]. 64 There is mounting evidence for the effects of microplastics on soil physico-chemical processes [33], which appear to depend on the dose, shape, size and chemical composition of the plastic 65 66 particle [29, 34]. For example, soil porosity and associated water movement and capacity can 67 change with certain types of microplastics [33, 35,36], but also evaporation increases with the 68 presence of plastic particles in the soil [37]. Furthermore, soil pH – a crucial factor for many 69 (bio)chemical processes can also be altered when microplastics are in soil [38], for with 70 cascading effects on other processes such as nitrogen cycling [39]. Most of these processes 71 are associated with soil microbes and their activity, in particular bacteria and fungi [3], which 72 have been the focus of many studies concerning the effects of microplastics on soil

73 biodiversity. There is evidence that microplastics can alter the composition and functioning of microbial communities [3], and there is evidence, based on genetic sequencing, that 74 75 microplastics themselves may have different microbial communities from the surrounding 76 bulk soil (e.g. [40]). The relatively greater research focus on microbial communities (compared 77 with larger soil biota such as worms and microarthropods) is possibly due to the relative ease to gather phylogenetic information from microbes by exploring eDNA with well-defined 78 79 universal primers (such as those amplifying 16S rRNA) combined with metagenomic analyses 80 and associated putative functional profiles [41]. Such an approach using eDNA is challenging 81 for soil animals, which may explain why soil faunal communities are currently under-studied 82 compared to microbial communities. Furthermore, it can be challenging to experimentally 83 reconstruct representative soil faunal communities in the laboratory or field. As such, most 84 studies considered how single, or an assemblage of a few animal species are affected by 85 microplastics (as reviewed by e.g. [2, 42-44]. Earthworms, in particular, have been studied in 86 the context of microplastic research. They can be considered important in the soil as 87 ecosystem engineers [45] and are relatively easy to maintain under controlled laboratory 88 conditions. For example, Jiang et al. (2020) [46] found that tiger worms (Eisenia fetida) responded negatively to the presence of polystyrene microplastics, with several biochemical 89 90 changes at the cellular level. Similar results were found by Sobhani et al. (2022) [47] who 91 exposed E. fetida to microplastic made of polyethylene. Other species of earthworms have 92 been reported to be negatively affected by exposure to microplastics, for example, the 93 endogeic rosy-tipped worm (Aporrectodea rosea) had reduced growth when exposed to 94 different types of microplastics in a mesocosm study by Boots et al. (2019) [48]. A seminal 95 study on the anecic earthworm Lumbricus terrestris by Huerta Lwanga et al. (2016) [49] found 96 that their growth also was negatively impacted, including their survival, by the presence of

97 LDPE microplastics in a simulated litter layer. A subsequent publication by Huerta Lwanga et al. (2017) [50] reports that microplastics can be translocated into the soil matrix through 98 burrowing activities by L. terrestris, thereby potentially exposing other soil fauna to 99 100 microplastics. Another study with *L. terrestris*, by Zhang et al. (2018) [51], explored their 101 potential involvement in incorporating plastics into soil when exposed to larger pieces from biodegradable and conventional plastic mulches, and found that the earthworms 102 103 incorporated both types of plastics into the soil as well. When in the soil, these plastics may 104 further degrade and or be redistributed through the soil profile. Other soil animals may also 105 contribute to the redistribution of plastics within the soil. For example, the collembola 106 Folsomia candida and Proisotoma minuta were studied by Maaß et al. (2017) [52] to 107 understand their role in the translocation of particles and fibres. They found that the two 108 species interacted differently with the microplastics suggesting that they could affect the 109 distribution of microplastics differently within the soil matrix. However, Kim et al. (2019) [53] 110 reported that the collembolan Lobella sokamensis was negatively affected when microplastics 111 were present due to their propensity to block micro-cavities within the soil matrix where the 112 animals are typically found thereby restricting their movement. The potworm Enchytraeus 113 crypticus has been shown to avoid microplastics obtained from HDPE bottle caps when in the 114 soil, moving to areas with no or lower concentrations [54]. Lahive et al. (2019) [55] explored 115 how E. crypticus responded to the presence of different size and shapes of microplastics in 116 soil, and they found that *E. cryptus* ingested nylon fibres and that their reproduction was 117 reduced. In another controlled mesocosm-based study, Selonen et al. (2020) [56] explored 118 the response of E. crypticus, F. candida, the oribatid mite Oppia nitens and the isopod Porcellio 119 scaber to polyester fibres either mixed in soil or leaf litter. They also reported that E. crypticus 120 ingested the fibres and displayed reduced reproduction, but the other test organisms showed

121 marginal responses. The animals, however, were studied in separate mesocosms which did 122 not allow the exploration of community-level effects of the microplastics. Most studies 123 appear to have been done on specific taxa, such as worms, perhaps because the are relatively 124 easy to maintain, can be considered model organisms representing different functional 125 groups [57]. Other soil organisms are also often used in soil ecotoxicological studies, such as isopods [58], yet there is limited information on these taxa when exposed to 126 127 microplastics. Even so, soil animals rarely occur alone as single species in soil, which can 128 contain great diversity and abundance of animals from different taxonomic groups, 129 comprising an extremely complex foodweb of multiple trophic levels of detritivores, grazers and predators at the micro-, meso- and macroscale (e.g. [59]). Even though there is ample of 130 evidence for single-species effects when exposed to the myriad of microplastics (e.g. shapes, 131 132 polymers, sizes, doses) as reviewed elsewhere, similar studies considering multi-species at 133 higher levels of organisation are not very common in the literature [60]. There are very few 134 studies reporting the effects of microplastics on soil faunal communities, including those done 135 under field conditions. For example, a seminal observational field study by Huerta Lwanga et 136 al. (2017) [61] explored trophic translocation from soil containing microplastics which were 137 also found at increased levels in earthworm casts and subsequently increased in chicken 138 faeces, but they were also detected in chicken and crop plants. It is not clear however, how 139 the presence of microplastics affected the highest trophic level, nonetheless this raised 140 concern that microplastics can move up the food chain. A more recent, 287-day long study by 141 Lin et al. (2020) [62] showed that when LDPE microplastics are experimentally applied to the 142 topsoil layer in a field, microarthropod and nematode communities were affected. In that 143 study [62], animals were classified by functional groups (e.g. feeding types of nematodes) or 144 order (e.g. ants as Hymenoptera) and they found that there was an overall decrease in

145 abundance of organisms with microplastics present, but also the community composition shifted with increasing density of microplastics. At 287 days, the study reported in [62] is 146 relatively long-term, but to fully understand how soil fauna responds to microplastic 147 148 pollution, more long-term research is needed. This is especially important for agricultural 149 settings so that different crop growth seasons will be encompassed. Long-term experiments, however, are challenging and require continuous funding to maintain and sampled typically 150 151 by interdisciplinary research teams. A study by Hernández-Gutiérrez et al. (2021) [63] 152 explored how soil invertebrates responded to microplastics under agricultural mulching 153 (plastic LDPE sheets), but also the use of glyphosate. Mulching was applied to the field from 154 2009 and the study was conducted in 2019. They found that there were more microplastics 155 in the soil with mulching and they reported that there were more individuals and taxonomic 156 orders of above-ground invertebrates captured with pitfall trap associated with the mulched 157 soils. It remains unclear, however, if the microplastics in the soil caused these differences. 158 Maintaining long-term and field-based studies, especially of a manipulative nature is 159 challenging and requires relatively large research teams. Ideally the team should consist of 160 several scientific disciplines so that a holistic picture can be sketched of how soil biodiversity 161 and associated ecosystem functioning can be affected.

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#### 163 Moving forward: focus for research

Soils are extremely heterogenous systems which make studying mechanistic pathways challenging [64] and, therefore, generalisation is very difficult. To make generalisation feasible, more empirical data is needed which encompass the many different biological, chemical and physical processes within soils. As these processes rarely occur in isolation, researching them in tandem (i.e. reciprocal effects on physico-chemical parameters and soil

169 biodiversity) will provide a more holistic picture of how microplastics can affect soil 170 ecosystems. Moving on from general observational studies, there is a need to shift to studies designed to unravel hypothesised mechanistic pathways, for example related to the shape, 171 172 size and chemical composition of the plastics. Mechanistic pathways can be direct (e.g. 173 physical contact) or indirect (e.g. via affecting a trophic level), but unravelling these pathways 174 at the community level requires very careful experimental designs to ensure confounding 175 variables are minimised. Furthermore, effects on animals at the molecular level (genes, 176 enzymes), such as oxidative-stress related responses [65, 66] could help further explain how 177 soil animals are being affected, including sub-lethal but chronic effects. To bring this further, 178 as also suggested by So et al. (2022) [67], it is important to explore how microplastic pollution 179 affects soil ecosystems under more realistic conditions, ideally in the field, with realistic doses 180 of microplastics applied [68]. Laboratory-based studies are valuable, and they suggest that 181 soil biological and physico-chemical characteristics respond differently to the characteristics 182 of plastic contamination, however, more information is required under different field settings 183 to understand how microplastics affect soil fauna at the community level. Combined with 184 trait-analysis techniques (e.g. [69]), potential community level (e.g. foodweb) effects can be 185 explored. To achieve this, simplified model communities of soil fauna could be constructed 186 representing several trophic levels (including primary producers). Field-based experiments, 187 however, typically require more maintenance, effort and costs, and they introduce more 188 noise than controlled or semi-controlled approaches. There could be an ethical issue 189 regarding the deliberate addition of an emerging pollutant to field plots which will need to be 190 considered. Nevertheless, by moving from lab-based studies to long-term field settings, we 191 can fully understand the impact of microplastics in soil ecosystems, including soil fauna.

192 Furthermore, there are still many groups of soil fauna which remain relatively understudied. 193 In 2018, research on soil protists was already identified as lacking [70], such as amoeba which 194 are important members of the soil foodweb, and there is still a dearth of information on this 195 group of soil fauna. Soils can contain a great diversity of soil micro- and mesofauna, including 196 pathogens and parasites, which all contribute to the health of soil ecosystems. The impacts 197 of microplastic pollution on soil dwelling vertebrates are not studied in much depth either, although the definition of "soil dwelling vertebrates" is context dependent, i.e. animals living 198 199 on the surface, within the litter layer, or within the soil. One could consider snakes as part of 200 the terrestrial foodweb as well, and [71] found microplastic fibres in the gastro-intestinal tract 201 of two different snake specimens (Natrix natrix and N. tessellate) kept in a museum from 1989 202 – 2019. Snakes are relatively at high trophic levels in terrestrial foodwebs which may explain 203 the presence of the plastics inside their bodies. There are other studies on vertebrates, but 204 mainly on mice and under laboratory conditions (e.g. [72]), as conducting ecotoxicological 205 research on soil vertebrates typically requires strict ethical consideration. Such research may 206 be valuable for wildlife, however, ethically that may be questionable.

207 Lastly, there is an increase in biodegradable and/or compostable plastics manufactured of 208 materials designed to degrade more rapidly than conventional types (e.g. polyethylene, 209 polyethylene terephthalate or polystyrene) and several products also claim to generate no 210 microplastics. However, (bio)degradation in the natural soil environment and the effects of 211 those plastics when littered or incorporated in the soil especially those on soil functioning and 212 related faunal communities will have to be better understood to assess their potential risks 213 [73], before they are adopted as an alternative to conventional plastics, including in 214 agriculture.

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### 216 Summary points

- There is mounting evidence that (micro)plastics can affect soil animals directly and
   indirectly via changes in soil physico-chemical properties. This manifests as lethal and
   sub-lethal (e.g. at the molecular level) health responses.
- Recently many systematic reviews and meta-analysis have been published
   amalgamating the scientific literature, but there is still a need for more empirical
   research to understand the underpinning mechanisms of how (micro)plastics can
   affect soil animals.
- Many taxa of soil fauna remain understudied. To generate a bigger picture, more
   information on effect of microplastics on taxa which are more cryptic, e.g. micro- and
   mesofauna.
- There is still a dearth of information on how soil animal communities, at a higher level
   of organisation, are affected as most research has been done on one or just a few
   animals in isolation.
- Research will have to move to studies done under field conditions, ideally long-term,
   including focus on (bio)degradable types of plastics and understudied groups such as
   vertebrates, although there are many (ethical) challenges to consider.

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## 234 **Declaration of conflict of interest**

235 There are no conflicts of interest.

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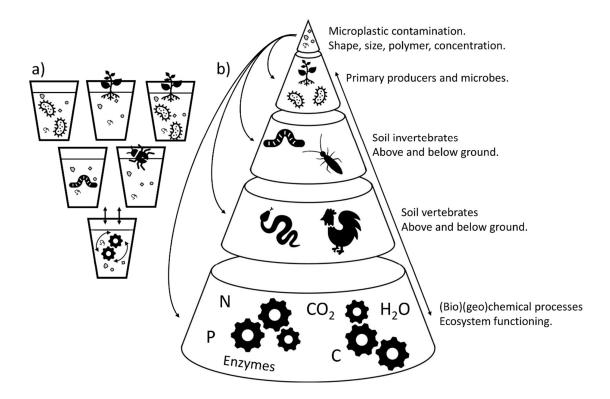


Figure 1. Most research on the effects of microplastics have been done in isolation in
laboratory studies (a), with one or several trophic groups considered such as microbes, plants,
worms and invertebrates. Soil ecosystems are very complex and instead of viewing them as
separate compartments, a more holistic approach needs to be adopted linking primary
producers to microbes and soil fauna and associated (bio)geochemical processes (b). Symbols
are obtained from MS Office 365 (Microsoft 2022), except the collembolan (credit: Shyamal
L.)