## 1 CARDIORESPIRATORY FITNESS IN ADOLESCENTS BEFORE AND AFTER THE COVID-19

- 2 **CONFINEMENT: A PROSPECTIVE COHORT STUDY**
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# **ABSTRACT**

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22 Long periods of free-movement restrictions may negatively affect cardiorespiratory 23 fitness and health. The present study investigated changes after the COVID-19 confinement in maximal oxygen intake (VO<sub>2</sub> max) levels in a sample of 89 Spanish school 24 25 children aged 12 and 14 years at baseline (49.8% girls). The 20-meter shuttle run test 26 served to estimate VO<sub>2</sub> max before and after the COVID-19 confinement. Paired t-tests estimated an overall difference of -0.5 ml.kg<sup>-1</sup>.min<sup>-1</sup> (SD 0.3) (p = 0.12), whereas the 27 highest significant reductions were observed for girls aged 14 years (-1.5 ml.kg<sup>-1</sup>.min<sup>-1</sup> 28 [SD 0.6] [p < .05]). Boys aged 14 years showed a slight increase (0.4 ml.kg<sup>-1</sup>.min<sup>-1</sup> [SD 0.5] 29 [p = .44]), whereas boys aged 12 years presented an important decrease (-1.2 ml.kg<sup>-1</sup> 30 31 <sup>1</sup>.min<sup>-1</sup> [SD 0.7] [p = .14]). Healthy Fitness Zone (HFZ) levels also experienced a decrease 32 of -3.4% as regards to baseline levels over the examined period. All the examined subgroups showed lower levels in relation to a normal VO<sub>2</sub> max rate development, 33 34 although girls aged 14, and boys aged 12 years accounted for the highest part. The 35 results indicate that COVID-19 confinement might delay the normal development of VO<sub>2</sub> 36 max in adolescents. Strategies to tackle this concerning decline are warranted.

37 **Keywords:** Maximal oxygen peak; Children; Physical fitness; Growth; Lockdown

## List of abbreviations

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- 39 VO2 max: Maximal Oxygen Intake
- 40 BMI: Body Mass Index
- 41 SD: Standard Deviation
- 42 HFZ: Healthy Fitness Zone

#### INTRODUCTION

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Adolescence is a critical period of human development characterized by profound physiological changes that lead to adulthood. The adherence to healthy habits is particularly relevant at this stage of life since those can importantly influence essential indicators linked to future health outcomes. Particularly, cardiorespiratory fitness is considered a critical hallmark for health during youth, and maximal oxygen uptake (VO<sub>2</sub> max) a good indicator for it [1]. Higher levels of VO<sub>2</sub> max in childhood and adolescence have been associated with lower values of cardiovascular risk factors such as waist circumference, body mass index (BMI), body fatness, blood pressure, total cholesterol, high density lipoprotein cholesterol, triglycerides, and prevalence of metabolic syndrome in later life [2, 3]. Despite this concern, recent research has estimated a VO<sub>2</sub> max decline of 7.3% among children and adolescents from both high-income and upper middle-income countries over the last decades [4], which could be attributed to a reduction of physical activity during that period [5]. In fact, an increase of physical activity levels have been associated with higher VO<sub>2</sub> max values in adolescents, especially with those previously inactive or overweight [6]. Therefore, it seems of upmost importance for adolescents to achieve sufficient levels of physical activity that can preserve reliable health indicators such as VO<sub>2</sub> max. Due to the unprecedented situation regarding the ongoing COVID-19 pandemic, there is no knowledge on how the restrictions imposed for enacted COVID-19 confinements might have influenced cardiorespiratory fitness levels among the population. Although it is known that prolonged periods of bed rest correspond to a gradually VO<sub>2</sub> max drop of around 0.3–0.4%/day in young adults [7], it is quite unlikely that confined adolescents have spent most of their time completely inactive. Nevertheless, prior research has suggested that levels of physical activity among children and adolescents decreased in countries with strict free-movement restrictions such as Spain [8]. Thus, as a result of a decrease in their physical activity levels, it is quite plausible that cardiorespiratory fitness levels among youth might have been reduced over that period. While closure of schools was established worldwide during 2020 [9], such measures along with sport facilities closures or the subsequent sudden halt of active commuting, may have caused an increase in sedentary behaviours that subsequently led to VO<sub>2</sub> max reductions in adolescents [10].

To date, there is no information on how  $VO_2$  max have evolved during these first months of COVID-19 pandemic among adolescents, although it is reasonable to expect that enacted free-movement restrictions have led to meaningful decreases. The aim of the study was to evaluate cardiorespiratory fitness changes after the COVID-19 confinement among adolescents. Therefore, we hypothesized a reduction of levels of  $VO_2$  max among adolescents after COVID-19 confinement.

# **METHODS**

82 Design and study population

A prospective cohort study to assess VO<sub>2</sub> max before and after the COVID-19 confinement was conducted using a sample of school-aged adolescents residing in Spain. The enacted Spanish strict confinement due to COVID-19 comprised 6 consecutive weeks from 15<sup>th</sup> March 2020 to 24<sup>th</sup> April 2020 and implied full closure of schools and non-essential workplaces; that did not allow any free movement of minors outside households unless any medical reason or, for the case of those aged 15 or over, to do the shopping or take a dog for a single daily short walk. From then on, several

stages of de-escalation measures were implemented, starting with a relaxed confinement, in which minors aged below 14 years were permitted to go outside once a day for no more than an hour, accompanied by an adult, in a specific band time (from 25<sup>th</sup> April 2020 to 10<sup>th</sup> May 2020). After the phase of relaxed confinement, a progressive multi-stage process driven by regional governments was carried out; that combined different sets of measures involving movement restrictions among regional and municipal borders, limitations in sport clubs' practices and official tournaments, and restriction to access sport facilities among others, which continues in the present day [11].

The study sample collected data on VO<sub>2</sub> max and BMI, and included students aged 12 and 14 years at baseline from respectively two and three groups of 1<sup>st</sup> and 3<sup>rd</sup> grade of secondary education from a North-East Spanish high school. The groups included in the study were selected through cluster randomization from among 12 potential groups comprising students of 1<sup>st</sup> to 4<sup>th</sup> secondary education grades. Data comprising both baseline (from 20<sup>th</sup> to 22<sup>nd</sup> November 2019, 114 days before the start of the enacted Spanish strict confinement) and second assessment (from 18<sup>th</sup> to 20<sup>nd</sup> November 2020, 192 days after the final of the relaxed confinement) were included in the study. Before the study enrolment, either parents or legal guardians were informed about the aim of the project, provided information about the current health status of their children through an online questionnaire, and signed an informed consent.

The study followed the principles of the World Medical Declaration of Helsinki, received the approval of the Ethics Committee of Research in Humans of the University of

Valencia (register code 1510464), and adhered to the Strengthening the Reporting of
Observational Studies in Epidemiology (STROBE) guidelines [12].

## Maximal oxygen uptake

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Levels of VO<sub>2</sub> max were estimated through the original one-minute protocol of the 20meter shuttle run test, a continuous incremental multi-stage audio-guided field-based test [13], which has shown good reliability to predict VO2 max in children and adolescents when using the original equation (r = 0.71) (SD 5.9 ml.kg<sup>-1</sup>.min<sup>-1</sup>) [13]. Initial race speed was set in 8.5 km/h at the beginning of the test, with a 0.5 km/h increase every minute; each minute corresponded to a different test stage. The test was carried out in the same outdoor asphalt basketball court during the two assessment rounds (i.e., before and after COVID-19 confinement) in rounds comprised of 5 students alphabetically sorted within each of the five groups. Participants ran 20 meters back and forth between two bounding lines, synchronizing their speed race with the pace set by the pre-recorded audio signals. Two researchers involved in the development of the tests registered the last stage and shuttle that each participant was able to complete. The test finished for each single participant when the bounding line was not stepped on time twice, or when the participant voluntarily left the test. Verbal encouragement was standardized for the researchers with the following sentences: "Do your best" at the end of the initial instructions, and "Well done" which was provided each minute during the test. The weather conditions (relative humidity ranging from 60% to 70% and temperatures oscillating between 8 and 15 Celsius degrees) and the time bands (from 10 a.m. to 1 p.m.) were similar for the two assessment rounds. During the second assessment round, a 2 meters space among participants was marked on the surface to keep a safety distance. Participants were permitted to remove their facemasks only
when performing the test. All of them had previously performed the test at least once
before the first-round stage and were given the same instructions before start.

To estimate levels of VO<sub>2</sub> max the Léger et al. [13] prediction equation was used:

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$$VO_2 \max (ml \ kg^{-1}min^{-1}) = 31.025 + 3.238 \ speed - 3.248 \ age +$$

140 0.1536 *speed x age* 

where speed is the speed of the last completed stage (km/h) and age is age at last birthday.

Additionally, the Healthy Fitness Zone® (HFZ) charts (Cooper Institute, Texas, USA) [14] in accordance with sex and age of each participant were used to classify them between those who met the considered healthy fitness levels or not in the two rounds of tests.

Body mass index

Weight and height were assessed the day of performing the first assessment round, just before performing the test. Participants were wearing either shorts or sport leggings, t-shirts and socks when the measurements were taken. A medical scale with height rod (Detecto 400 Series, Missouri, USA) served to estimate weight (kg) and height (meters) which were used to calculate BMI through the following equation:

$$BMI = kg/meters^2$$

To ensure enough statistic power and meaningful subgroups, the BMI variable was later categorized into tertiles.

Statistical analyses

Statistical analyses were conducted through Stata version 16.1 (StataCorp, Texas, USA). A priori analyses determined a minimum sample size of 34 participants ( $\beta$  = 80%,  $\alpha$  = 5%,  $\delta$  = 0.5). The Shapiro-Wilk test was applied to check normality of continuous variables. Paired t-tests were performed overall as well as for each age, sex and BMI to examine VO<sub>2</sub> max differences within each subgroup. Independent t-tests were performed to examine differences among subgroups within categories. Differences in relation to prevalence of HFZ were evaluated by Mc Nemar Chi-squared tests, informing the frequencies and percentages, percentage differences, and  $\chi$ 2 values for each subgroup. Levels of significance were set at p < 0.05. Additionally, the standardized effect size was calculated using Cohen's d, classified as small (.20), medium (.50), and large (.80). There were no missing values for participants included in the study.

## **RESULTS**

Of the initial 128 participants, those with any chronic condition or infectious disease during any assessment stage were discarded from the study (n = 30). Moreover, participants whose parents refused giving informed consent to participate (n = 9) were also discarded. Therefore, 89 participants (70% of the initial sample) with one-year follow-up were finally included in the study.

Table 1 shows the main features of the study sample. A total of 89 adolescents on average aged 13.3 years (SD 0.9), of whom 44 (49.4%) were girls, participated in the study. The BMI mean of the sample was 23.6 kg/m² (SD 3.9). The overall average VO<sub>2</sub> max before COVID-19 confinement was 46.2 ml.kg<sup>-1</sup>.min<sup>-1</sup> (SD 0.6) whereas the average VO<sub>2</sub> max after COVID-19 confinement was 45.7 ml.kg<sup>-1</sup>.min<sup>-1</sup> (SD 0.7), with an estimated difference of -0.5 ml.kg<sup>-1</sup>.min<sup>-1</sup> (SD 0.3) (p = .12) between the two periods. Subgroup

analyses estimated a significant VO<sub>2</sub> max reduction for the subgroup of girls ( $-1.0 \text{ ml.kg}^{-1}$ .min<sup>-1</sup> [SD 0.4] [p < .05]). Particularly, it was girls aged 14 years who presented the main difference of VO<sub>2</sub> max, showing a reduction of -1.5 ml.kg<sup>-1</sup>.min<sup>-1</sup> (SD 0.6). The rest of subgroups showed no differences or no significant reductions between the two examined periods except for boys aged 14 years, who showed a no significant improvement of 0.4 ml.kg<sup>-1</sup>.min<sup>-1</sup> (SD 0.5) (p = .44) in their VO<sub>2</sub> max.

Regarding prevalence of HFZ, Table 2 displays percentages of participants who met the recommended levels of HFZ. Overall, prevalence of HFZ before and after COVID-19 confinement was respectively 79.8% and 76.4%, with a lower percentage of participants meeting HFZ levels found for the second case (-3.4%) ( $\chi^2$  = 1.3) (p = .26). Apart from boys aged 14 years (3.0%) ( $\chi^2$  = 1.0) (p = .32), the rest of subgroups presented either no differences or lower percentages of HFZ after COVID-19 confinement. The highest reduction of HFZ was observed for girls aged 14 years (-15.4%) ( $\chi^2$  = 2.7) (p = .10), whereas BMI subgroups showed a dose-response fashion towards lower percentage of HFZ after COVID-19 confinement. All differences within subgroups were estimated as not statistically significant.

## **DISCUSSION**

The present study provides a new and original insight on how the COVID-19 confinement and other related enacted measures have affected  $VO_2$  max in a sample of Spanish adolescents. Until now, there is no study assessing  $VO_2$  max, a well-known cardiorespiratory fitness indicator, and the potential detrimental effect of the COVID-19 confinement over it among adolescents. Contrary to expected, the reduction of  $VO_2$  max for the whole sample is small, and only specific subgroups such as girls, and, particularly,

girls aged 14 years presented statistically significant reductions. On the other hand, the subgroup of boys aged 14 years improved their VO<sub>2</sub> max after the confinement. In addition, the percentage of participants meeting the recommended levels of HFZ was lower after the COVID-19 confinement, although such reduction represents a scarce percentage of the participants. Regarding BMI, no relevant differences were identified among or within subgroups, although all of them showed slight VO<sub>2</sub> max reductions. Overall, our findings point at a lower impact than expected of the COVID-19 confinement over VO<sub>2</sub> max of schooled-teenagers. These findings might be owing to several reasons, comprising compensation strategies to improve health-related habits during the COVID-19 confinement, a profitable use of both the relaxed confinement and de-escalation phases concerning physical activity, and physical development.

Despite that lower levels of physical activity among children and adolescents were observed to decrease in countries with stricter COVID-19 confinements [15, 16], levels of VO<sub>2</sub> max in our study did not experience great variations. However, because VO<sub>2</sub> max

observed to decrease in countries with stricter COVID-19 confinements [15, 16], levels of VO<sub>2</sub> max in our study did not experience great variations. However, because VO<sub>2</sub> max usually increases during adolescence due to the physical growth and development process per se, a deceleration of the VO<sub>2</sub> max increase is still plausible; in normal children and adolescents, the VO<sub>2</sub> max increases with growth and maturation, although girls usually reach their peak at around 14 years of age [17]. In general, a slight VO<sub>2</sub> max increase of 1 ml.kg<sup>-1</sup>.min<sup>-1</sup> per year is expected in boys aged 12 to 15 years, whereas a decrease of around 0.5 ml.kg<sup>-1</sup>.min<sup>-1</sup> per year is considered normal among girls aged 12 to 18 years [14]. Thus, strictly in view of these mentioned rate developments of VO<sub>2</sub> max, only girls aged 12 years have been able to maintain their expected VO<sub>2</sub> max development over the examined period. Considering the limited duration of the strict confinement (i.e., 6 weeks), and the fact that there has been a relevant clearance period

of several months from the end of both strict and relaxed confinements up to the second VO<sub>2</sub> assessment (i.e., participants were permitted to do physical activity outdoors or moving among regional borders with specific limitations), the possibility that the detrimental effects of COVID-19 confinement over VO<sub>2</sub> max have been mitigated during that time still exists. In fact, improvements of VO<sub>2</sub> max owing to training have been commonly observed among adolescents [18]. Furthermore, a study by Stojmenović et al. [19] showed a lower improvement of VO<sub>2</sub> max during adolescence in sedentary girls compared to trained girls, hence the option of a high impact of the COVID-19 confinement on the VO<sub>2</sub> max should not be discarded, because that could have probably been mitigated by an ulterior increase of physical activity levels. By contrast, effects of long COVID-19 (i.e., persisting symptoms in relation to previous SARS-CoV-2 infection) worsening VO<sub>2</sub> max are still plausible in children and adolescents since respiratory symptoms such as pain and chest tightness, fatigue, muscle, and joint pain have been previously observed even after 120 days of having been diagnosed, particularly among girls [20]. Moreover, since a compensation phenomenon regarding health-related behaviours has been observed during the COVID-19 confinement among adults [8], these types of behaviours concerning close family could have also influenced adolescents' levels of physical activity [21]. Additionally, the planning of active initiatives or the development of physically-friendly environments might have positively influenced more active behaviours, especially during the relaxed confinement and the de-escalation phase [22– 24]. Consequently, longer confinement periods, with less opportunities to do physical activity outdoors might lead to more detrimental effects over VO2 max than those

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observed in the present study. Also, the influence of a warm weather during the de-

escalation phase could have contributed to increase levels of physical activity, since children, particularly girls, tend to be more physically active in such conditions [25]. Finally, even though BMI has been observed to affect levels of VO<sub>2</sub> max in adolescents [26], our analyses did not identify any important pattern concerning this issue beyond the fact that it was participants from the second tertile who showcased higher VO<sub>2</sub> max values in both assessments as well as the higher reductions. This could be partly explained by the interindividual variations of running economy observed among individuals with different or changing BMI [27]. Future research investigating both medium and long-term effects of strict confinements over VO<sub>2</sub> max in adolescents is warranted, even more so that the cardiorespiratory fitness trends are showing an important decline over the last decades [4].

## Strengths and limitations

Strengths of the current study comprise using a randomized sample of apparently healthy adolescents and the use of an objective cardiorespiratory fitness test, which has been validated to assess VO<sub>2</sub> max. Another strength is that most of the eligible subjects chose to participate in the measurements. However, the findings of our study should be interpreted considering several limitations. The main constraint of the study is the inability to determine to how extent the observed results are related to the COVID-19 confinement; the potential clearance period between the end of the strict and relaxed COVID-19 confinement and the second VO<sub>2</sub> max assessment could have played its role attenuating the detrimental effects. Besides, the 20-meter shuttle run test is a field-based test that indirectly estimates VO<sub>2</sub> max thus a potential information bias should not be discarded. Our study assumes that the VO<sub>2</sub> max development rate from a large

sample of American adolescents can be compared to Spanish ones. Nevertheless, average baseline levels of VO<sub>2</sub> max of the present study are higher than their American counterparts [14], and could hamper both interpretations and generalizations. On the other hand, baseline VO<sub>2</sub> max levels estimated in this study are similar to those observed in prior research including a large representative sample of adolescents from the same Spanish region, which confers consistency to our VO<sub>2</sub> estimations [28]. Also, because obese adolescents usually have lower VO<sub>2</sub> max than their normal BMI counterparts, adolescents with higher levels of BMI might present different trends of VO<sub>2</sub> max over the examined period [26]. Even though we drew a random sample from a specific region, we do not know if the present results can be generalized to all Spanish adolescents. Finally, examining other potential variables such as socioeconomic features might provide new perspectives on the research topic, however, since most of the participants from our study sample live in the same neighbourhood and study in the same high school, it is quite unlikely that a big effect size can be attributed to this. Also, because the present study did not include adolescents previously diagnosed with COVID-19, further research might also focus on adolescents that have experienced COVID-19 and how that has affected their VO<sub>2</sub> max over time. Overall, the results suggest a delay in the expected evolution of VO<sub>2</sub> max as regards to normal values during the examined period. Particularly boys aged 12 and girls aged 14 years showed important reductions in relation to what is expected for their age. The COVID-19 confinement has possibly affected the normal development of VO<sub>2</sub> max in adolescents from Spain, who have experienced strict movement restrictions. Strategies promoting an active lifestyle to avoid deepening into the already declining trends of

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cardiorespiratory fitness in adolescents are warranted.

#### CONFLICTS OF INTEREST

298 The authors have no conflicts of interest to disclose.

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**Table 1**. Differences of maximal oxygen uptake ( $VO_2$  max) before and after COVID-19 confinement.

	n	%	VO <sub>2</sub> max before confineme nt (SD) <sup>a</sup>	VO <sub>2</sub> max after confineme nt (SD) <sup>a</sup>	Differenc e of VO <sub>2</sub> max (before- after) (SD) <sup>a</sup>	p Values b	t	df	ď°	<i>p</i> Values d
Overa	8		46.2(.6)	45.7(.7)	5(.3)	.12	1.5	8	.0	
II	9						6	8	8	
Age										.75
12	2	32.	47.3(.7)	46.7(.9)	6(.4)	.15	1.4	2	.1	
years	9	6					9	8	4	
14	6	67.	45.7(.8)	45.3(1.0)	4(.4)	.32	1.0	5	.0	
years	0	4					0	9	6	
Sex										.10
Boys	4	50.	48.7(.9)	48.7(.9)	.0 (.0)	.97	.04	4	.0	.12
	5	6						4	2	
12	1	24.	50.2(1.6)	49.0(1.3)	-1.2(.7)	.14	1.6	1	.2	
years	1	4					1	0	5	
14	3	75.	48.2(1.1)	48.6(1.1)	.4(.5)	.44	.78	3	.0	
years	4	6						3	6	
Girls	4	49.	43.7(.7)	42.7(0.8)	-1.0(.4)	.02	2.3	4	.1	.17
	4	4					4	3	9	
12	1	40.	45.7(.9)	45.4(0.7)	3(.5)	.58	.57	1	.0	
years	8	9						7	8	
14	2	59.	42.3(1.0)	40.8(1.2)	-1.5(.6)	.02	2.4	2	.2	
years	6	1					1	5	6	
BMI (kg/m <sup>2</sup> )										.61
	3	33.	45.6(1.1)	45.4(1.2)	3(.5)	.64	.48	2	.0	
Tertile 1	0	7			, ,			9	4	
	3	33.	47.0(1.2)	46.2(1.2)	8(.6)	.21	1.2	2	.1	
Tertile 2	0	7					7	9	1	
	2	32.	46.1(1.0)	45.6(1.3)	5(2.7)	.37	.92	2	.0	
Tertile 3	9	6	nired test (P) <sup>c</sup>					8	7	

<sup>a</sup>ml.kg<sup>-1</sup>.min<sup>-1</sup> <sup>b</sup>T-paired test (P). <sup>c</sup>Cohen's d: Small .20; Medium .50; Large .80. <sup>d</sup>T-test for independent subgroups within categories

 Table 2. Prevalence of Fitness Health Zone (FHZ) before and after COVID-19 confinement.

					Difference				
	FHZ before confinement		FHZ after confinement		of FHZ (before- after)	χ²	df	<i>p</i> Value <sup>a</sup>	ď
	n	%	n	%	%				
Overall	71	79.8	68	76.4	-3.4	1.3	1	.26	.08
Age									
12 years	29	100	29	100.0	.0	.0	1	1.00	.00
14 years	42	70.0	39	65.0	-5.0	1.3	1	.26	.11
Sex									
Boys	36	80.0	37	82.2	2.2	1.0	1	.32	.06
12	11	100	11	100.0	.0	.0	1	1.00	.00
years									
14	25	73.5	26	76.5	3.0	1.0	1	.32	.07
years									
Girls	35	79.6	31	70.5	-9.1	2.7	1	.10	.21
12	18	100	18	100.0	.0	.0	1	1.00	.00
years									
14	17	65.4	13	50.0	-15.4	2.7	1	.10	.31
years									
BMI									
$(kg/m^2)$									
Tertile 1	22	73.3	24	80.0	6.7	2.0	1	.16	.16
Tertile 2	25	83.3	23	76.7	-6.6	2.0	1	.16	.16
Tertile 3	24	82.3	21	72.4	-9.9	3.0	1	.08	.25

<sup>&</sup>lt;sup>a</sup> Mc Nemar's chi square test (FHZ before and after confinement). <sup>b</sup> Cohen's d: Small .20; Medium .50; Large .80.