Sarcopenia reduces quality of life in the long-term:

Longitudinal analyses from the English Longitudinal Study of Ageing

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# ABSTRACT

**Purpose:** Mixed findings exist for sarcopenia/quality of life (QoL) relationship. However, to date, the majority of studies in this area have utilized a cross-sectional design or specific clinical populations. Therefore, the aim of the present study was to examine the association between sarcopenia at baseline and QoL at 10 years follow-up in a large representative sample of older English adults.

**Methods:** Sarcopenia was diagnosed as having low handgrip strength and low skeletal muscle mass index. QoL was measured using the CASP (control, autonomy, self-realisation and pleasure)-19, with higher values reflecting higher QoL. Multivariable logistic regression analysis was conducted to assess prospective associations between sarcopenia at baseline and poor QoL at follow-up; generalized linear model with repeated measures was used for reporting mean changes during follow-up between sarcopenia and not.

**Results:** Among 4,044 older participants initially included at baseline (mean age: 70.7 years; 55.1% females), 376 had sarcopenia. In the multivariable analysis, after adjusting for ten potential confounders, sarcopenia at baseline was associated with a higher incidence of poor QoL (odds ratio, OR=5.82; 95% confidence interval, CI: 3.45-9.82). After matching for QoL values at baseline and adjusting for potential confounders, people with sarcopenia reported significantly lower values in CASP-19 (mean difference=-3.94; 95%CI: -4.77 to -3.10).

**Conclusions:** In this large representative sample of older English adults, it was observed that sarcopenia at baseline was associated with worse scores of QoL at follow-up compared to those without sarcopenia at baseline. It may be prudent to target those with sarcopenia to improve QoL.

**KeyWords:** Quality of Life; Sarcopenia; ELSA; Older Adults; Epidemiology; longitudinal; Ageing.

# BACKGROUND

Quality of life (QoL) is one’s perception of their position in life in the context of the culture and value systems in which one lives, and in relation to one’s goals, expectations, standards and concerns.[[1](#_ENREF_1)] QoL is indeed an important measure of overall health, including wellbeing .2, [[2](#_ENREF_2)] Health organizations emphasize that maintaining good QoL is of importance throughout the life course .[[2](#_ENREF_2)]

Importantly, QoL has been observed to be associated with mortality. For example, in one review of several studies, including approximately 1,200,000 participants, it was found that better QoL was associated with lower mortality risk.[[3](#_ENREF_3)] This association has been found to be strong in older adults particularly when health related QoL is used as an outcome. For example, in a prospective cohort study of 2,373 persons, representative of the Spanish population aged 60 and older, it was found that changes in health related QoL predicted mortality.[[4](#_ENREF_4)] It is thus important to identify correlates of QoL in older adults to inform targeted interventions to improve QoL or maintain adequate levels. One potential but understudied correlate of QoL in older adults is that of sarcopenia.

Sarcopenia refers to “age-related muscle loss, affecting a combination of appendicular muscle mass, muscle strength, and/or physical performance measures”[[5](#_ENREF_5)] and is now widely considered to be a disease.[[6](#_ENREF_6)] Sarcopenia is plausibly linked to a reduction in QoL as it has been observed to be associated with higher rates of hospitalization, dependency, falls and disability.[[7](#_ENREF_7)] In one systematic review, eleven studies investigating QoL in sarcopenic people were identified, and interestingly, the results were quite heterogenous showing either no difference in QoL between sarcopenic and non-sarcopenic participants or poorer QoL for sarcopenic patients, but generally only for specific QoL domains.[[8](#_ENREF_8)] At the same time, generic questionnaires are used for measuring QoL in sarcopenia and this can further limit the literature available about the potential associations between sarcopenia and QoL. [[8](#_ENREF_8)] Importantly, only two of the included studies were longitudinal in nature. In one study consisting of 670 older adults from Taiwan, it was observed that sarcopenia at baseline was associated with worse QoL scores at 4-year follow-up.[[9](#_ENREF_9)] The other longitudinal study consisted of a sample of 230 patients listed for liver transplant and found no association between baseline sarcopenia and QoL at follow-up.[[10](#_ENREF_10)] Other more recent studies, and thus not include in the review, have investigated the sarcopenia/QoL relationship in those with specific medical conditions e.g. dementia[[11](#_ENREF_11)] or cancer.[[12](#_ENREF_12)] It is clear that further research of a longitudinal nature is needed, with a longer follow-up, in large representative samples of the general older adult population to further elucidate the association between sarcopenia and QoL.

Given this background the aim of the present study was to examine the association between sarcopenia at baseline and QoL at 10 years follow-up in a large representative sample of older English adults.

# METHODS

## Study population

This study is based on data from six waves (from Wave 2 to Wave 7) of the English Longitudinal Study of Ageing (ELSA), which is a prospective and nationally representative cohort of men and women living in England.[[13](#_ENREF_13)] Wave 2 (baseline survey) was conducted in 2004-2005; the other waves were conducted every two years, until Wave 7 between 2014 and 2015. The ELSA study was approved by the London Multicentre Research Ethics Committee (MREC/01/2/91). Informed consent was obtained from all participants.

## Sarcopenia (independent variable)

Following the criteria of the revised European consensus on the definition and diagnosis of sarcopenia [[14](#_ENREF_14)], sarcopenia was defined as weak handgrip strength and having low skeletal muscle mass (SMM), as reflected by lower skeletal mass index (SMI). Briefly, SMM was calculated based on the equation proposed by Lee and colleagues[[15](#_ENREF_15)]:

SMM= 0.244\*weight + 7.8\*height + 6.6\*sex – 0.098\*age + race – 3.3

(where female=0 and male=1; race=0 [White and Hispanic], race=1.4 [Black] and race=-1.2 [Asian]).

SMM was further divided by body mass index (BMI) based on weight and height measured by a trained nurse, to create a SMI. [[16](#_ENREF_16)] Low SMM was defined as the lowest quartile of the SMI based, on sex-stratified values. [[17](#_ENREF_17)] Weak handgrip strength was defined as <27kg for men and <16kg for women using the average value of three handgrip measurements of the dominant hand. [[14](#_ENREF_14)] Grip strength in kilograms was measured by using a Smedley dynamometer (TTM; Tokyo, Japan), with the upper arm being held against the trunk and the elbow in a 90-degree flexion. [[13](#_ENREF_13)]

## Outcomes: quality of life

The QoL measure used in the ELSA is CASP (control, autonomy, self-realisation and pleasure)-19. [[18](#_ENREF_18)] It is a self-completion questionnaire and spans four derived dimensions based on Likert scaled items. CASP-19 has an overall summary measure on a 0–57 scale, with higher scores corresponding to greater well-being.[[18](#_ENREF_18)] CASP-19 was evaluated in each wave included in this analysis, from wave 2 to 7. Poor QoL was defined, arbitrarily, as values falling in the lowest quartile values of CASP-19 in each wave.

## Covariates

The selection of covariates was based on their previously reported associations with the exposure (sarcopenia) and outcome (poor QoL), and included the following: age; sex; years of education (considered as continuous variable); ethnicity (whites vs. non-whites); marital status (married vs. other status); smoking status (ever vs. never); physical activity level (high vs. moderate/low/sedentary): in the ELSA study, for assessing physical activity level, three questions were made regarding vigorous, moderate, mild activity in the previous twelve months. To assist in answering the questions, prompt cards with examples of activities categorised by intensity [[19](#_ENREF_19)]; the presence of depressive symptoms assessed with the Center for Epidemiologic Studies Depression Scale (CES-D)[[20](#_ENREF_20)]; the presence of multimorbidity was defined as ≥2 chronic conditions[[21](#_ENREF_21)], among all the medical conditions assessed at wave 2. All these parameters were assessed at baseline.

## Statistical analyses

The data were weighted using the person-level longitudinal weight, core sample, wave 2 (http://www.ifs.org.uk/ELSA). The analysis was restricted to those aged ≥60 years at baseline as sarcopenia is an age-related condition.

Continuous variables were analyzed in terms of distribution using the Kolmogorov-Smirnov test, using the Levene’s test to test the homoscedasticity of variances. Means and standard deviations (SD) were used to describe quantitative measures, while percentages and counts were used for categorical variables. Characteristics of the study participants at baseline (wave 2) were compared according to the presence of sarcopenia at wave 2 with the use of Chi-squared or Fisher exact tests for categorical variables, and independent T-test for continuous variables.

The association between sarcopenia at baseline and poor quality of life during follow-up was assessed using univariable and multivariable logistic regression analysis and reported as odds ratios (OR) and 95% confidence intervals (95% CI). Participants falling in the lowest quartile of CASP-19 at the baseline evaluation were removed from this analysis. Moreover, the association between sarcopenia at the baseline and the changes of CASP-19 during follow-up was evaluated using a generalized linear model with repeated measures, adjusting for the potential confounders mentioned in the covariates paragraph, including CASP-19 values at baseline. For missing data during follow-up regarding CASP-19, a multiple imputation method was used, with a maximum of 20 interactions.[[22](#_ENREF_22)] For further minimizing the possible bias, we used a case-control match (1:10) using similar values of CASP-19 at wave 2 between people with and without sarcopenia.

All statistical tests were two-tailed, and a p-value < 0.05 was considered to be statistically significant. All analyses were performed using SPSS 21.0 version software.

# RESULTS

A total of 9,432 participants were included in the second wave (baseline) of the ELSA. In the present analyses 3,186 participants were excluded owing to having an age <60 years, 1,157 owing to missing wave 2data on body composition, 95 owing to missing wave 2 data on handgrip strength, and 590 owing to missing data on QoL at follow-up. The present analytic study population included 4,044 participants (**Figure 1**, unweighted data).

Participants mean age was 70.7± SD 7.6 years (range: 60-90) and 55.1% were female. The baseline prevalence of sarcopenia was 8.5%. **Table 1** shows the main descriptive characteristics of the participants included, according to the presence or absence of sarcopenia at wave 2. Those who were sarcopenic at baseline (n=376) were significantly older, more likely to be male, not married, and had a lower level of education compared to those without sarcopenia (n=4028) (all = p<0.0001). People with sarcopenia were less physically active, more depressed, and had worse CASP-19 scores, at baseline (p<0.0001) (**Table 1**).

**Table 2** shows the association between sarcopenia at baseline and the onset of poor QoL. In the univariable analysis, sarcopenia at baseline was associated with a higher incidence of poor QoL (OR=10.14; 95%CI: 6.29-16.35; p<0.0001): this association remained statistically significant after adjusting for potentially important confounding variables(OR=5.82; 95%CI:3.45-9.82; p<0.0001) (**Table 2**).

**Figure 2** shows the association between those with sarcopenia (n=269) and thosewithout sarcopenia at baseline (n=2690) in terms of QoL during follow-up, after baseline matching of CASP-19 scores. After ten years of follow-up, people with sarcopenia reported significantly lower values in CASP-19 (mean difference=-3.94; 95%CI: -4.77 to -3.10; p<0.0001), after adjusting for potentially important confounding variables.

# DISCUSSION

In the present large representative sample of older adults with 10 years of follow-up it was observed that the presence of sarcopenia at baseline was significantly associated with lower scores of QoL at follow-up compared to those who were not sarcopenic.

The present findings support and add to the only other longitudinal analyses carried out in a small sample (n=670) of Taiwanese older adults and observed that sarcopenia at baseline was associated with worse QoL scores at 4-year follow-up.[[9](#_ENREF_9)] It adds to this study through demonstrating that such an association holds in a large sample (4,044) of older English adults with 10-years of follow-up. There are several plausible pathways that likely explain the association between sarcopenia and worse QoL scores. First, sarcopenia is associated with a greater risk of functional impairment and disability, which may limit one’s ability to carry out certain functions in their daily lives[[23](#_ENREF_23)] potentially impacting their QoL.[[24](#_ENREF_24)] Second, sarcopenia is associated with higher risk of falling[[25](#_ENREF_25)] and therefore may experience fractures owing to such falls or avoidance of specific behaviors owing to fear of falling, both of which may limit activities of daily life and thus reduce QoL.[[25](#_ENREF_25)] Third, sarcopenia has been observed to be associated with multiple mental health complications[[26](#_ENREF_26)] and mental health complications are indeed associated with a worsening of QoL.[[27](#_ENREF_27)] Fourth, sarcopenia has been found to reduce sleep quality[[28](#_ENREF_28)] potentially owing to a low level of irisin.[[29](#_ENREF_29)] Poor sleep likely worsens QoL owing to excessive daytime sleepiness and lack of energy.

The findings from the present study and the only other longitudinal study in a sample of “healthy” older adults[[9](#_ENREF_9)], suggest that it may be prudent for interventions to improve QoL to be targeted at those with sarcopenia. It may be beneficial to incorporate mind-body exercises into such interventions such as Tai-chi. Indeed, literature has shown that such exercises can significantly reduce sarcopenia symptoms[[30](#_ENREF_30)] and improve QoL per se.[[31](#_ENREF_31)]

The large representative sample and 10-years follow-up are strengths of the present study. However, findings must be interpreted in light of the studies limitations. Just one measure of muscle strength was employed to measure sarcopenia. Although a unified geriatric assessment tool has not as of yet been widely utilized to assess for sarcopenia, handgrip strength is indeed frequently implemented to measure muscle strength, which isa critical component of sarcopenia.[[14](#_ENREF_14)] Handgrip strength is commonly employed in both research and clinical practice[[32](#_ENREF_32)] and has been found to be an independent predictor of early mortality.[[33](#_ENREF_33)] Next, the body composition estimate was calculated utilising a population equation and not direct assessment. In this sense, this equation did not consider some common anthropometric measures, such as hip circumference and the proposed equation was validated only in the USA, whilst no direct evaluation was made in Europe and particularly in the ELSA study.[[34](#_ENREF_34)] Third, the CASP-19 has not been validated in sarcopenic people. Future similar research should seek to implement the SarQoL[[35](#_ENREF_35)], which is the only validated QOL tool for use in people with sarcopenia, unfortunately not available at the time of the baseline assessment of the ELSA study. Finally, it would be interesting to explore the possible association between sarcopenia and the altered dimensions of QoL, but this important information is unfortunately not available in CASP-19 scale.[[36](#_ENREF_36)]

In conclusion, in this large representative sample of older English adults it was observed that sarcopenia at baseline was associated with worse scores of QoL at follow-up compared to those without sarcopenia at baseline. It may be prudent to target those with sarcopenia to improve QoL.

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